

Heron View Partners LLC

Privacy Notice

FACTS	WHAT DOES HERON VIEW PARTNERS LLC (“HVP”) DO WITH YOUR PERSONAL INFORMATION?	
WHY?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
WHAT?	<p>The types of personal information we collect, and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> ▪ Social security number ▪ Income ▪ Assets ▪ Risk tolerance ▪ Wire transfer instructions ▪ Transaction history <p>When you are no longer our customer, we continue to share information about you as described in this notice.</p>	
HOW?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons HVP chooses to share; and whether you can limit this sharing.	
Reasons we can share your personal information	Does HVP Share?	Can you limit this sharing?
For our everyday business purposes - such as to process your transactions, maintaining your accounts(s) or responding to court orders and legal investigations.	Yes	No
For our marketing purposes - to offer our products and services to you	No	We do not share
For joint marketing with other financial companies	No	We do not share
For our affiliates' everyday business purposes - information about your transactions	Yes	No
For our affiliates' everyday business purposes – information about your creditworthiness	Yes	No
For nonaffiliates to market to you	No	We do not share

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What we do

How does HVP protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does HVP collect my personal information?

We collect your personal information, for example, when you

- Enter into an investment advisory contract or subscription agreement
- Seeking financial advice
- Make deposits or withdrawals from your account
- Tell us about your investment portfolio
- Give us your employment history

We may also collect your personal information from others, such as credit bureaus, affiliates, or other companies.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and non-financial companies.

- *Our affiliates include companies with a common corporate identity*

Nonaffiliates

Companies not related by common ownership or control. They can be financial and non-financial companies.

- *Nonaffiliates we can share with include fund administrators, custodians, Information Technology, Legal and Compliance, Advisory and other services providers as required to process your transactions.*

Joint Marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *HVP does not jointly market.*